



## **ECPC Rulebooks**

# **Product (Card Application / POS Kernel / MPA) Certification Framework**

**April 2022**

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## 1. Changes from the previous version

This chapter highlights the main differences between the last version of the present document and this new version:

- This is the 10<sup>th</sup> version of this document.
- Update Kernel ICS version
- Remove qualified Card and POS kernel test tool in 8 Appendix C: Validated Test Tools
- Add an example of product change (4.6.4.1)

## 2. General Information

### 2.1. Purpose and scope

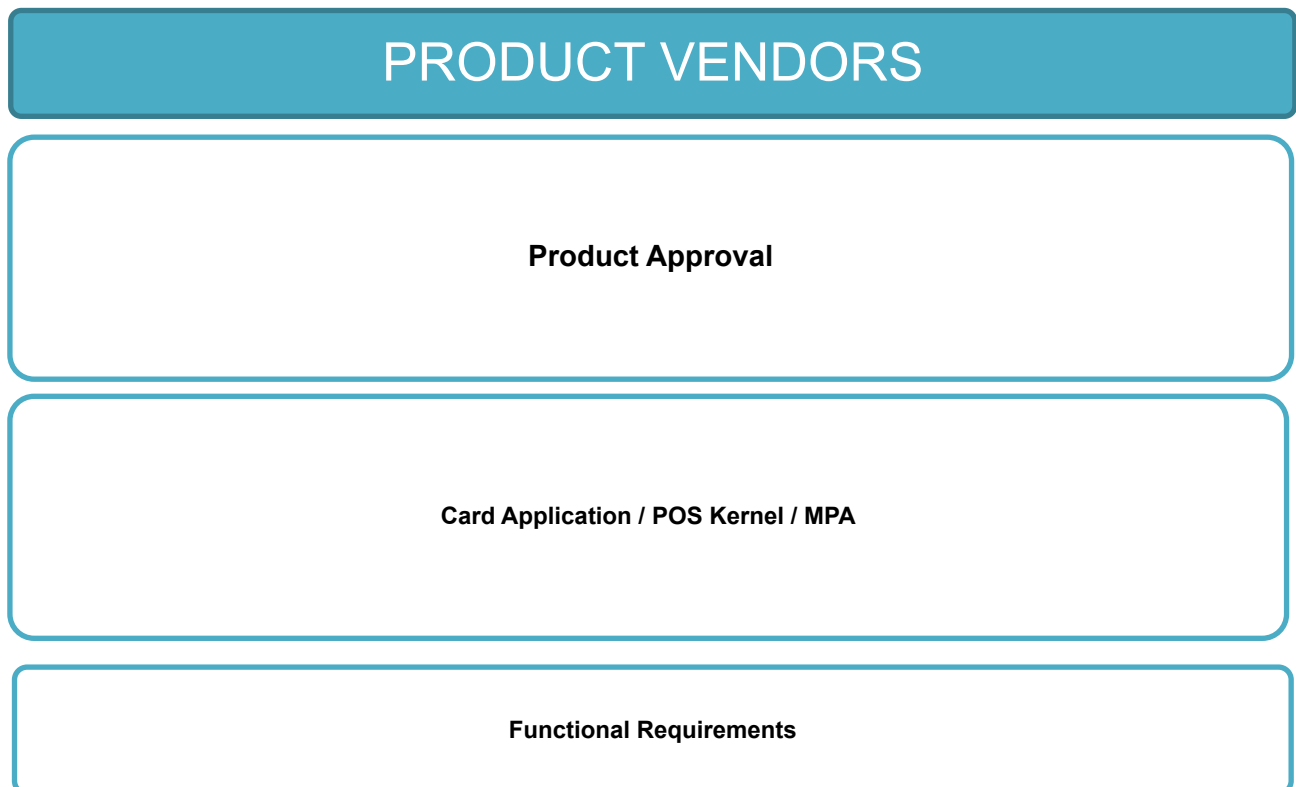
This document describes the complete ECPC Product Certification Framework, relying on the different Product-related Rulebooks (RBs), including:

- Relations between the ECPC, the Certification Bodies, the Evaluation Laboratories and the Vendors.
- All “need to know” by CB’s, ELs and Vendors.
- And all other corresponding activities that are outside the CB scope.

The Framework applies to the following “**Products**” as:

- Dual Interface Card Application
- POS Kernel
- MPA (Mobile Payment Application with HCE interface)

This rulebook is intended for the companies that have previously registered to ECPC via the ECPC website ([europeancardpaymentcooperation.eu/](http://europeancardpaymentcooperation.eu/)) and completed the Registration Request form available on this website seeking to submit their **Products** for ECPC Certification. The Certification includes functional requirements according to the following Certification program:



A certificate issued by ECPC accredited Certification Bodies based on the rules defined in this document will be recognized by all card schemes/approval bodies willing to accept it for Type Approval.

### 2.2. Definitions

Abbreviations and capitalized terms used in the present document are defined in the SCS Card Standardization “Volume”. They have the same meaning here.

The following abbreviations and capitalized terms are used in this document:

<b>Term or Abbreviation</b>	<b>Definition</b>
<b>Type Approval</b>	The process which a product or solution must undergo in order to obtain the authorization for deployment from a given card payment scheme or Approval Body.
<b>CB</b>	Certification Body
<b>Certification Body</b>	A Certification Body is an organization responsible for Issuing certificates to confirm that product solutions have been successfully tested against a given implementation specification. This process is based on evaluations or tests performed by laboratories accredited by the Certification Body.
<b>ECPC Certification Committee</b>	Committee composed of members of the ECPC in charge of reviewing and monitoring all certification activities, related to the implementation based the ECPC specifications.
<b>CPLC</b>	Card Production Life Cycle
<b>ICS</b>	Implementation Conformance Statement Form completed by the certification applicant listing all the optional functions – as specified in the ECPC reference specification – according to the ECPC requirements.
<b>Vendor</b>	Product manufacturer and/or any other entity requesting the ECPC Certification.
<b>Functional Test Report</b>	Document issued by an Evaluation Laboratory summarizing the test results of the functional Tests performed by the Evaluation Laboratory.
<b>Security Test Report</b>	Document issued by an Evaluation Laboratory summarizing the test results of the Security evaluation performed by the Evaluation Laboratory.
<b>Evaluation Laboratory (EL)</b>	Entity registered by a Certification Body to perform functional and/or security compliance Testing on a Certification Product having implemented specifications recognized by the ECPC.
<b>Certification Product</b>	Vendor Product, having implemented specification recognized by the ECPC, submitted for Certification
<b>Test Tool Validation Manager</b>	Entity recognized by the ECPC Certification Committee to validate Test Tools. Typically, Certification Bodies are entitled, by ECPC Certification Committee, for this role.
<b>MPA</b>	Mobile Payment Application (HCE application)

## 2.3. References

The complete list of applicable rulebooks is available on: [docs – European Card Payment Cooperation](#)

Reference	Document Title
<a href="#"><u>CPACE-HCE_V1.0</u></a>	CPACE for Host Card Emulation (HCE) in a Consumer Device Version 1.0
<a href="#"><u>CPACE-Terminal-Kernel-Specification_V1.0_20180712</u></a>	Functional Specification Terminal Kernel Version 1.0
<a href="#"><u>Specification_Bulletin_CPACE_Kernel_N1_1.0_July2020</u></a>	CPACE Kernel Specification update
Kernel Specification Bulletin N°2 February 2021	CPACE Kernel Specification update
Kernel Specification Bulletin N°3 June 2021	CPACE Kernel Specification update
Kernel Specification Bulletin N°4 November 2021	CPACE Kernel Specification update
Implementation Conformance Statement CPACE-Kernel 1.2.0 March 2022	Implementation Conformance Statement (ICS) for Kernel Functional Testing
<a href="#"><u>CPACE-DIC_V1.0_171018</u></a>	CPACE for Dual Interface Cards Functional Specification Version 1.0
<a href="#"><u>Specification_Bulletin_CPACE-DIC_N1_Dec2019</u></a>	CPACE-DIC Specification Update
<a href="#"><u>Specification_Bulletin_CPACE-DIC_N2_April2020</u></a>	CPACE-DIC Specification Update
Specification Bulletin CPACE-DIC N3 April2021	CPACE-DIC Specification Update
Implementation Conformance Statement CPACE-DIC 1.2 May 2021	Implementation Conformance Statement (ICS) for Card Application Functional Testing
<a href="#"><u>Technical_Note_CPACE-DIC_N1_Card_Mute_July2020</u></a>	CPACE DIC Technical Note CARD “Mute” functionality



### 3. General Principles

In accordance with the SEPA guidelines (“Volume”), the ECPC Card Certification Scheme is organized in 3 levels:

1. The **ECPC Certification Committee** is composed of members of the ECPC in charge of reviewing and monitoring all certification activities, related to the implementation based on ECPC specifications.
2. **Certification Bodies** certify the compliance of systems based on technical evaluations performed by the Evaluation Laboratories. These certificates are the requested proofs of compliance and can form part of the Approval submission.
3. **Evaluation Laboratories** perform the technical (functional or security) evaluations of the solutions to be certified.

The ECPC Certification Committee’s requirements consist of the ECPC specifications and market standards or norms recognized by the ECPC. The Certification Scheme thus comprises:

- **Certification Bodies accredited by the ECPC Certification Committee:**

These Certification Bodies certify products based on evaluation reports drawn up by registered Evaluation Laboratories. The list is attached in section 6.

- **Evaluation Laboratories registered by Certification Bodies:**

These Evaluation Laboratories perform technical functional tests evaluating a product’s compliance with the ECPC requirements. Evaluation Laboratories are registered by Certification Bodies. A list of registered Evaluation Laboratories is attached in section 7.

- **External Certification Bodies:**

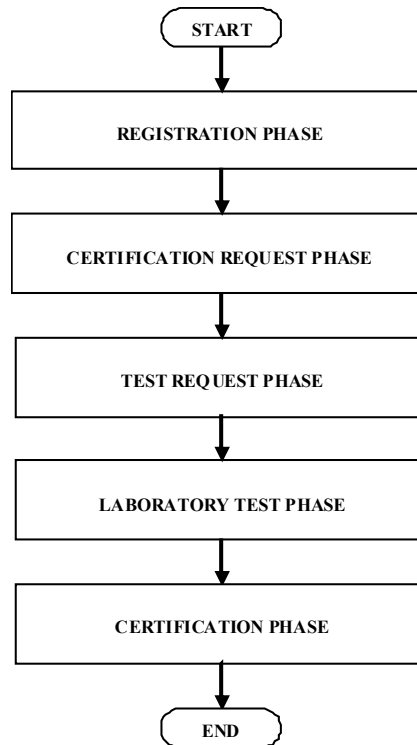
External Certification Bodies are such that are not directly accredited by ECPC (e.g. EMVCo). They certify evaluation reports drawn up by Evaluation Laboratories affiliated to them. Their certificates may be recognized by the ECPC Certification Committee, but do not follow an authorization procedure defined by the ECPC.

This version of the ECPC Certification Framework concerns functional aspects only. Depending on the situation, these requirements are verified by Evaluation Laboratories and CBs registered and accredited by the ECPC.

## 4. Certification Process

### 4.1. Main Flow

The general organization of the certification process is presented below. Each phase mentioned in this diagram is detailed in the following paragraphs of this document.



### 4.2. Registration Phase

Before being allowed to request a Certification, the candidate Vendor must be registered by the ECPC by completing the registration form available online ([europeancardpaymentcooperation.eu/](http://europeancardpaymentcooperation.eu/)).

### 4.3. Certification Request Phase

The Certification request submission phase shall be executed as follows and shall be documented in the Certification Body's procedures in accordance with the following requirements:

The Certification applicant submits a written Certification request by following the Certification Body's requirements as well as a filled and signed ICS to the Certification Body.

The ICS contains information about the Product identification and implementation that shall be validated by the Evaluation Laboratory chosen by the Certification applicant to test the Product.

As defined in the Certification request, some additional information as proof of evidence for EMV contact and/or contactless L1 and Entry point module (for kernel) have to be provided by the Vendor.

For the entry point module, an EMVCo Entry Point Approval must be provided. Exceptionally, an assessed test report produced by a test laboratory accredited by an ECPC accredited Certification Body may be accepted, if sustainable reasons can be provided.

The Certification Body verifies the consistency of the information contained in the ICS and assigns a unique Certification Number and an ICS number.

If everything is coherent the Certification Body validates the ICS and notifies its validation to the Certification Applicant and to the Evaluation Laboratory. In case of inconsistency in the ICS, the Certification Body returns it to the vendor for correction and copy it to the Evaluation Laboratory identified in the ICS.

Once the Certification request is received and the ICS is validated, the Certification request phase is completed. The Certification Body waits for the Test Reports submission to resume the Certification process.

## 4.4. Test Request Phase

The Vendor requests testing from an accredited Evaluation Laboratory. The validated ICS will specify to the Evaluation Laboratory which Certification Body will be evaluating the Test results for Certification.

The test request can take place at any time before the Test phase (cf 4.5 Laboratory Test Phase) nevertheless it is recommended to schedule the test request submission following the Certification request submission since the Evaluation Laboratory shall use an ICS validated by the Certification Body (cf 4.3 Certification Request Phase).

## 4.5. Laboratory Test Phase

The Test phase consists of the execution of test cases selected in the Test Specification based on the product implementation as described in the ICS.

After completing the test phase, the Vendor sends the digitally signed complete test report provided by the Evaluation Laboratory and the technical advice, in case of non-compliance, to the Certification Body or the Evaluation Laboratory sends both documents to the Certification Body, on behalf of the Vendor, which in this case will also receive a copy.

### 4.5.1. Validation of Test Tools

The Evaluation Laboratories must use Validated Test Tools to perform the Certification tests of a Certification Product based on the ECPC Specifications.

Test tools must be validated by a Test Tool Validation Manager recognized by the ECPC Certification Committee.

The validated Test Tools are listed in Chapter 8 Appendix C: Validated Test Tools.

### 4.5.2. Test Tool Policy

The ECPC relies on independent Test Tool Providers. To develop a Test Tool which can be used to perform Certification tests of a Product based on ECPC Specifications the Test Tool Provider must buy an ECPC licence to receive the most recent version of the ECPC Test Cases and the following updates. The licence request implies the following fees:

- ECPC Test Tool Provider Registration Fee
  - applies to the first registration to obtain an ECPC licence to receive and implement the most recent ECPC test cases.
- ECPC Test Tool Provider Maintenance Fee
  - applies to the reception of regular test case updates and the publication of the Validated Test Tools on the ECPC web page and its maintenance and is paid on a regular basis as decided by the ECPC.

The license is available online under ([europeancardpaymentcooperation.eu/](http://europeancardpaymentcooperation.eu/)).

The Test Tool Provider must pay the Certification Body's Validation Manager for Test Tool validation.

Regarding the test tool improvement, the Certification Body could register up to 3 review session per year, for patches that update the tool but do not impact the test cases.

Evaluation Laboratories must sign a contract with a Test Tool Provider to use their Validated Test Tools. The business conditions to allow the usage of the Validated Test Tools are up to the Test Tool Provider.

## 4.6. Certification Phase

The Certification Body reviews all the elements involved in the compliance of the Certification Product with the requirements specified in the ECPC specifications. This means that the Laboratory's Test Report and

technical advice, the scope and level of implementation, and specific discrepancies' impact, if there is any, will be taken into consideration to assess the compliance of the Product.

#### **4.6.1. Evaluation of the compliance**

The Certification Body shall assign at least one person to review all information and results related to the Evaluation. The review shall be carried out by person(s) who have not been involved in the evaluation process.

The Certification Body produces a Certification report that contains or links to:

- A complete identification of the product or system, scope, specifications and Certification conditions as described in the ICS (e.g. Certification evaluation date...)
- Details on the evaluation conclusions and compliance analysis on all eventual encountered discrepancies including those about discrepancies that must be eliminated in order to meet the Certification criteria,
- If necessary, proposition of corrections and additional tests and evaluations to plan in order to meet the Certification criteria,

The Certification report shall be adapted for each type of Certification Product to be certified in corresponding process instructions.

#### **4.6.2. Decision of Certification**

The Certification Body shall assign at least one expert or a group of experts of the Certification Body to take the Certification decision based on all information related to the evaluation, its review, and any other relevant information. The Certification decision shall be carried out by a person or group of persons that has not been involved in the process for evaluation of compliance.

The evaluation of compliance by the Certification Body and decision of Certification shall not be carried out by the same person.

The process of decision leading to grant (or not) the Certification to a Certification Product or system shall be documented for each type of product in the Certification Body's procedures.

The Certification decisions will be submitted to the Certification Committee to evidence that the Certification process has been correctly followed, to assess the impartiality of the decision.

If the decision is not favorable to grant a Certification, the Certification Body informs the Vendor about the decision and justifies this decision in a written statement and by transmitting the Certification report.

A copy of the Certification report is also sent to the ECPC Certification Committee.

In case the Vendor disagrees, he can choose to appeal the decision by sending a written notice, including data relevant for the Certification, to the Certification Body and to the ECPC Certification Committee.

The appeal will lead to the convention of a meeting or a conference call with the Certification Body and the ECPC Certification Committee in order that all parties can examine again the Certification file, including the Certification report and the additional data brought by the Vendor.

It is recommended to clarify open issues regarding the test results beforehand.

#### **4.6.3. Certificate issuance**

If the decision is favorable to the Certification of the Certification Product, the Certification Body grants the Vendor a Certificate for that specific Certification Product.

The Certificate issued by the Certification Body is based on the template attached in the Appendix E: Template Certification Letter of the present document and contains at least the following information:

- Name, address and contact details of the Certification Body
- Certification Applicant's name, address and contact point
- Technical Name and Commercial Name (if different) of the certified product or system
- Type of certified product or system
- Versions of hardware and software including the OS version
- Name, version and dates of the specifications against which the product or system has been certified

- Reference to the Test phase (Name and version of the Test Tool, version of the test plan, version and date of the test report, identification of the Evaluation Laboratory)
- Terms and conditions and non-responsibility statement of the Certification Body
- Start date of the Certificate
- Certification Body's signature
- The validated ICS will be attached as an annex to the Certificate

The Certificate will be sent, alongside with the Certification report, to the Certification Applicant the ECPC Certification Committee in copy.

#### **4.6.4. Certification of product change**

Following a change on a product already certified, a vendor may request to get a certification process on a reduced scope.

In this case, according to the ICS defined, the scope for testing is studied by the Evaluation laboratory, which defines one that basis the tests to be performed (non-regression tests and appropriate tests). The Evaluation laboratory may ask the Certification Body for the validation of its decision. If the certification evaluation is successful, the vendor gets a Certification Letter for this new product. This letter will reference the original certificate.

##### ***4.6.4.1.Example: Certification of antenna change***

In case of antenna change on a certified product, a vendor may request to get a certification. The vendor would need to provide a new ICS with reference to the original certificate, the antenna reference update and corresponding EMV contactless L1 proof of compliance to the Certification Body. No additional tests are required.

After validating this information received, the Certification Body issues a Certification Letter for this new product.

#### **4.7. Certification Fees**

Fees have to be paid by the Vendors to the ECPC for the registration process as follows:

- ECPC Vendor Registration Fee
  - applies for the first registration as a vendor and necessary registration updates;
- ECPC Vendor Account Maintenance Fee
  - to be paid on a regular basis as defined by the ECPC for administration services and certification web page maintenance

Fees have to be paid by the Vendor to the Certification Body for the administration of the Certification process, according to the Certification Body's pricing policy. These Fees are bilaterally agreed.

Fees have to be paid by the Vendors to the Evaluation Laboratories for their Testing efforts according to the Evaluation Laboratory's pricing policy. These Fees are bilaterally agreed.

#### **4.8. Publication of the ECPC Certificates**

All certified products shall be published on a list of certified Products available without restriction on the ECPC website. Such list shall be maintained by each Certification Body and all lists are subject to monitoring by the ECPC Certification Committee.

Certificates and ICS of Certified Products shall not be considered confidential documents and can be presented upon request by Vendors, for example if requested by an Approval Body.

## 5. Life cycle of the Certification

As detailed in section 4 Certification Process, Product Certificates are granted by the Certification Body. However, the status of a Certificate may evolve after issuance, in case one of the following happens:

### 5.1. Termination

If the validity of a Product Certificate may expire in case the certification is terminated by written request of the Vendor, the ECPC Certification Committee will take the following actions specified hereafter to ensure it provides no indication that the Product continues to be certified:

- Update the directory of certified Product accordingly.
- Confirm to the Vendor that the Product's certificate has been terminated.

### 5.2. Discrepancy affecting the certification

When a discrepancy with certification requirements is discovered, either as a result of surveillance or otherwise, the ECPC Certification Committee will determine the appropriate action to be taken, such as:

- Increased surveillance and investigation by the ECPC Certification Committee, as described by the ECPC requirements.  
Surveillance and investigation may lead to corrections to be implemented by the Vendor in order to maintain the certificate until its original period of validity, if any.
- Suspension of the certificate pending remedial action by the Vendor.

Both actions can be taken at the same time or separately. Also, surveillance and investigation may lead to suspension of the certificate depending on the severity of the discrepancy affecting the certification.

The ECPC Certification Committee shall then inform the Vendor and the Certification Body and take the appropriate action.

### 5.3. Suspension of a Certificate

If a certificate has been suspended, the ECPC certification committee shall take the following actions:

- Update the directory of certified Products accordingly.
- Notify the Vendor of the suspension and inform the Vendor of the required time frame to correct the causes that have led to the suspension.
- Inform the Certification Body of the decision to temporarily suspend the ECPC certificate.

In the case where no correction could be implemented by the Vendor during the time frame notified by the ECPC certificate, the Product certificate will be withdrawn.

### 5.4. Withdrawal of a Certificate

In case of withdrawal of Certificate, the ECPC certification committee shall take the following actions and ensure it provides no indication that the Product continues to be certified:

- Update the directory of certified Products accordingly.
- Inform the Certification Body of the decision to withdraw the ECPC Product Certificate.
- Confirm to the Product Vendor that the Product Certificate has been withdrawn.

## 6. Appendix A: Accredited Certification Bodies

At the time of publication of this Rulebook, the following Certification Bodies were accredited (or are in the process to be accredited) by the ECPC certification committee.

CB Name	Accredited Scope	Status	Location	Contact
PayCert	- Card Application - POS Kernel	Accredited	48 rue Montmartre 75002 Paris FRANCE	<a href="http://www.paycert.eu">www.paycert.eu</a> <a href="mailto:info@paycert.eu">info@paycert.eu</a>

## 7. Appendix B: Evaluation Laboratories registered

At the time of publication of this Rulebook, the following Evaluation Laboratories were registered or are in the process to be registered) by the ECPC Certification Committee.

Evaluation Laboratory Name	Registration Scope	Registration Status	Location	Contact
<b>Elitt</b>	<ul style="list-style-type: none"> <li>Card Application (Functional)</li> </ul>	Registered	Campus Technologique Effiscience 9, rue Léopold Sedar Senghor 14460 COLOMBELLES France	<a href="http://www.elitt.com">www.elitt.com</a>
<b>Fime</b>	<ul style="list-style-type: none"> <li>POS Kernel</li> </ul>	Registered	8 rue Commodore J.H Hallet 14000 Caen France	<a href="http://www.fime.com">www.fime.com</a>



## 8. Appendix C: Validated Test Tools

Please refer to ECPC website (<https://europeancardpaymentcooperation.eu/docs/#certification-related-documents>) or contact PayCert ([info@paycert.eu](mailto:info@paycert.eu)) for getting the list of validated test tools.

## 9. Appendix D: Certification Check List

### 9.1. Certification ADMINISTRATION

To be filled by the Certification Body


<b>Certificate NUMBER</b>	Click Here to Enter Text.
<b>Certificate FILE DATE</b>	Click Here to Enter Text.
<b>Certification FILE STATUS</b>	<ol style="list-style-type: none"> <li>1. Certification Request</li> <li>2. Validated Certificate Request</li> <li>3. Test Reports Submitted</li> <li>4. Decision of Certification</li> </ol>

### 9.2. #1 - Certificate REQUEST

To be filled by the Candidate

<b>REQUEST DATE</b>	Click Here to Enter Text.
<b>REQUEST TYPE</b>	<div style="border: 1px solid black; padding: 2px; display: inline-block; margin-bottom: 5px;"> <input checked="" type="checkbox"/> New Product      <input type="checkbox"/> Product Change     </div> <p><i>If Product Change, please provide the previous Certificate number :</i> Click Here to Enter Text.</p> <p><i>If Product Change, please provide a list of changes:</i> Click Here to Enter Text.</p>
<b>VENDOR IDENTIFICATION</b>	Name: Click Here to Enter Text. Adress: Click Here to Enter Text. Contact Name: Click Here to Enter Text. Contact Telephone : Click Here to Enter Text. Contact Email : Click Here to Enter Text.
<b>VENDOR BILLING INFORMATION</b>	Company VAT Number Billing contact name: Billing contact e-mail address: Billing address (if different from default address):  Purchase Order Number / reference to be mentioned on the invoice:
<b>EVALUATION LABORATORY</b>	Name: Click Here to Enter Text. Contact Name: Click Here to Enter Text. Contact Email : Click Here to Enter Text.
<b>CERTIFICATION BODY</b>	Name: Contact Name: Contact Email:
<b>ADDITIONAL INFORMATION ABOUT THE CERTICATION REQUEST</b>	Click Here to Enter Text.

## 9.2.1. IDENTIFICATION OF THE CARD APPLICATION (Only if the Product is a Card)

<b>CARD PRODUCT IDENTIFICATION</b>	Marketing Name: Click Here to Enter Text. Technical Name: Click Here to Enter Text. Application Life Cycle Data (Tag '9F7E') : Click Here to Enter Text.
<b>PRODUCT TYPE</b>	<input type="checkbox"/> Contact <input type="checkbox"/> Dual
<b>INTEGRATED CIRCUIT (IC)</b>	Manufacturer: Click Here to Enter Text. Full Name: Click Here to Enter Text. Identifier: Click Here to Enter Text. (2 bytes assigned by the chip manufacturer – Life Cycle Data)
<b>OPERATING SYSTEM (OS)</b>	Click Here to Enter Text.
<b>ATR VALUE</b>	Click Here to Enter Text.
<b>CSPACE APPLICATION</b>	Name: Click Here to Enter Text. ECPC Application Specification & Version : Click Here to Enter Text.  the ICS associated to the test plan must be provided
<b>OTHER(s) APPLICATION(s)</b>	Name: Click Here to Enter Text. Application Specification: Click Here to Enter Text.  Name: Click Here to Enter Text. Application Specification: Click Here to Enter Text.  Name: Click Here to Enter Text. Application Specification: Click Here to Enter Text.  Name: Click Here to Enter Text. Application Specification: Click Here to Enter Text.

**9.2.2. IDENTIFICATION OF THE POS Kernel (only if the Product is a POS Kernel)**

<b>POS Kernel</b>	<p>Manufacturer: Click Here to Enter Text.</p> <p>Full Name: Click Here to Enter Text.</p> <p>Version : Click Here to Enter Text.</p> <p>Unique Identifier: Click Here to Enter Text.</p>
<b>POS Kernel Environment</b>	<p>Reader/Terminal containing the POS Kernel : Click Here to Enter Text.</p> <p>Reader Terminal Version : Click Here to Enter Text.</p> <p>Reader Terminal Operating System : Click Here to Enter Text.</p> <p><input type="checkbox"/> Mono kernel      <input type="checkbox"/> Multi-kernel POS</p> <p>If Multi-Kernel POS architecture</p> <p>Entry Point application or equivalent Name : Click Here to Enter Text.</p> <p>Entry Point application or equivalent Version : Click Here to Enter Text.</p>
<b>CPACE Reference</b>	<p>ECPC Application Specification &amp; Version : Click Here to Enter Text.</p> <p><i>the ICS associated to the test plan must be provided</i></p>

**9.2.3. IDENTIFICATION OF THE MPA (only if the Product is a MPA)**

<b>MPA</b>	<p>Manufacturer: Click Here to Enter Text.</p> <p>Full Name: Click Here to Enter Text.</p> <p>Version : Click Here to Enter Text.</p> <p>SDK Name: Click Here to Enter Text.</p> <p>SDK Version: Click Here to Enter Text.</p>
<b>MPA Environment</b>	<p>Supported Mobile phone OS type :</p> <p><input type="checkbox"/> Android                                      <input type="checkbox"/> iOS</p> <p><input type="checkbox"/> Other</p>
<b>CPACE Reference</b>	<p>ECPC Application Specification &amp; Version : Click Here to Enter Text.</p> <p><i>the ICS associated to the test plan must be provided</i></p>

<b>FOR THE VENDOR</b>	<p>Name: Click Here to Enter Text.</p> <p>Position: Click Here to Enter Text.</p> <p>Date: Click Here to Enter Text.</p> <p>Signature:</p>
-----------------------	--

### 9.3. #2 - ELIGIBILITY OF THE SOLUTION

*To be filled by the Certification Body*

<b>DATE OF THE REGISTRATION</b>	Click Here to Enter Text.
<b>STATUS OF THE Certification REQUEST</b>	Choose an item... <i>Comments:</i>

### 9.4. #3 – Evaluation Reports SUBMISSION

*To be filled by the Candidate*

 Please join documents to the Certification Check List

<b>SUBMISSION DATE</b>	Click Here to Enter Text.
------------------------	---------------------------

*In case of a New Product, the following proof of compliance must be submitted to the Certification Body for third-party validation.*

<b>CARD Certification Prerequisite REQUIREMENTS (only if the Product is a Card)</b>	<p>Proof of compliance – EMV Contact Level 1 (Electrical &amp; Protocol): Ref.: Click Here to Enter Text.</p> <p>Proof of compliance – EMV Contactless Level 1 (Analog &amp; Digital): <i>In the case of contactless interface is active on the card product</i> Ref.: Click Here to Enter Text.</p>
<b>POS Kernel Certification Prerequisite REQUIREMENTS (only if the Product is a POS Kernel)</b>	<p>Proof of compliance – EMV Contact Level 1: Ref.: Click Here to Enter Text.</p> <p>Proof of compliance – EMV Contact Level 2: Ref.: Click Here to Enter Text.</p> <p>Proof of compliance – EMV ContactLess: Ref.: Click Here to Enter Text.</p>
<b>MPA Certification Prerequisite REQUIREMENTS (only if the Product is a MPA)</b>	To be defined

*In case of Product Change, the list of proof of compliance is detailed in the section #2 – Evaluation Roadmap of this document. Such documents must be submitted to the Certification Body for validation.*

### 9.5. #4a – FILES VALIDATION

To be filled by the Certification Body	
<b>VALIDATION DATE</b>	Click Here to Enter Text.
<b>DISCREPANCY ANALYSIS</b>	Number of Discrepancies:  List of Discrepancy:
<b>CB CONCLUSION</b>	Choose an Item... <i>Comments:</i> Click Here to Enter Text.

### 9.6. #4b – Certification DECISION

To be filled by the Certification Body	
<b>DATE OF DECISION</b>	Click Here to Enter Text.
<b>DECISION</b>	Choose an Item... <i>Comments:</i>

<b>For the Certification Body</b>	Name: Click Here to Enter Text. Position: Click Here to Enter Text. Date: Click Here to Enter Text. Signature:
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## 10. Appendix E: Template Certification Letter

Certification Body: Certification Body Name & Address

Reference of the Letter: Unique id

Name and Address of the certification applicant

### **ECPC Functional Compliance Certificate – Type of Certification Product**

Certificate Number:

Product:

Technical name:

Compliant with: *Specification reference*

Dear... ,

The ECPC CB has received a request, submitted by [the Vendor], your company, for the Certification of the [Type of Certification Product] [Product], hereafter referred to as the Product.

In connection with your request, we have received your Implementation Conformance Statement (ICS), referred to as [Unique Reference of the ICS], and we have assessed your Test Report (ref. [Unique Test Report Reference]), which was generated by [Evaluation Laboratory], following the Test Plan [Unique Test Plan Reference].

Based on these elements, as indicated in [CB]' Certification report (ref. [Unique Certification Evaluation Report Reference]) the Certification Body has found reasonable evidence that the submitted samples of the Product comply with the [Specification reference].

The Certification Body hereby grants the Product Certification of compliance with the requirements stated by [Specification reference], and will include your Product in the certified products list, published on ECPC website ([www.europeancardpaymentcooperation.eu](http://www.europeancardpaymentcooperation.eu)).

Please note that the present Certification is subject to the following terms and conditions as listed hereafter:

- The present Certification is granted on the basis of the ECPC Certification Policy and therefore is valid as of today.
- With regard to this certification, neither the ECPC nor the CB do accept any liability for direct or indirect losses, caused by operating the Product in the field.
- If the Product is changed, [The Vendor] must notify the CB of this fact in writing. Any change in the Product that may generate a different behavior with respect to [Specification reference] or a difference in the Product Implementation Conformance Statement will be considered a major modification subject to a new compliance assessment.
- The Present Certification granted to [The Vendor] for the above referenced Product is non-transferable to any other vendor.
- The CB has the right to terminate or revoke the Certification in case any of the aforementioned terms and conditions are found to not be respected.

Name: CB Signee

Title:

Signature:

*Note: The wording of this template may be adapted in accordance with the Certification Framework, if necessary.*

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End of document